



02-02-06

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2624

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/656,310-Conf. #9423
	Filing Date	September 6, 2000
	First Named Inventor	Goro Ishida
	Art Unit	2624
	Examiner Name	K. Y. Poon
Total Number of Pages in This Submission	Attorney Docket Number	04783/016001

**ENCLOSURES (Check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): IDS (Citation) by Applicant Foreign and Non-Patent Literature (18) Return Receipt Postcard
<b>Remarks</b>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	OSHA LIANG LLP		
Signature			
Printed name	Jonathan P. Osha		
Date	February 1, 2006	Reg. No.	33,986

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV841967020US, on the date shown below in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22303-1450.

Dated: February 1, 2006

Signature:

(Michelle Hayden)



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<b>FEE TRANSMITTAL</b> <b>For FY 2005</b>  <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		<b>Complete if Known</b>		
		Application Number	09/656,310-Conf. #9423	
		Filing Date	September 6, 2000	
		First Named Inventor	Goro Ishida	
		Examiner Name	K. Y. Poon	
TOTAL AMOUNT OF PAYMENT (\$)		180.00	Art Unit	2624
			Attorney Docket No.	04783/016001

**METHOD OF PAYMENT** (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 50-0591 Deposit Account Name: Osha - Liang LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

**FEE CALCULATION**

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
<u>Total Claims</u> - = <u>Extra Claims</u> x <u>Fee (\$)</u> = <u>Fee Paid (\$)</u>		
<u>Indep. Claims</u> - = <u>Extra Claims</u> x <u>Fee (\$)</u> = <u>Fee Paid (\$)</u>		

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/50	(round up to a whole number) x	=	

**4. OTHER FEE(S)**

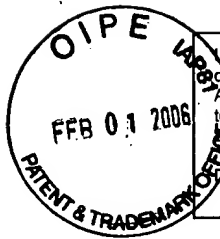
	Fees Paid (\$)
Non-English Specification, \$130 fee (no small entity discount)	
Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement	180.00

<b>SUBMITTED BY</b>			
Signature		Registration No. (Attorney/Agent)	33,986
Name (Print/Type)	Jonathan P. Osha	Telephone	(713) 228-8600
		Date	February 1, 2006

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Dated: February 1, 2006

Signature: 

(Michelle Hayden)

Docket No.: 04783/016001  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Goro Ishida

Application No.: 09/656,310

Confirmation No.: 9423

Filed: September 6, 2000

Art Unit: 2624

For: PRINTER FOR COMMUNICATING  
COMMANDS WITH HOST DEVICE AND  
METHOD OF CONTROLLING SAID  
PRINTER

Examiner: K. Y. Poon

**INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage of a PCT application, AND after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

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A full translation of each of the non-English language references is enclosed.

Applicant has not submitted copies of each cited U.S. patent and U.S. patent application as required by 37 CFR 1.98(a)(2)(i), amended October 2004, as the U.S. Patent and Trademark Office has waived this requirement for all U.S. patent applications. Applicant submits herewith copies of foreign and non-patents in accordance with 37 CFR 1.98(a)(2).

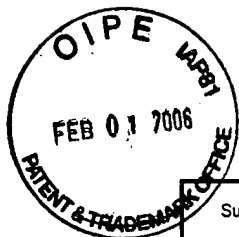
The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith to our Deposit Account No. 50-0591, under Order No. 04783/016001.

Dated: February 1, 2006

Respectfully submitted,

By 

Jonathan P. Osha  
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(713) 228-8778 (Fax)



PTO/SB/08a/b (07-05)

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Substitute for form 1449A/B/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				Application Number	09/656,310-Conf. #9423
				Filing Date	September 6, 2000
				First Named Inventor	Goro Ishida
				Art Unit	2624
				Examiner Name	K. Y. Poon
Sheet	1	of	2	Attorney Docket Number	04783/016001

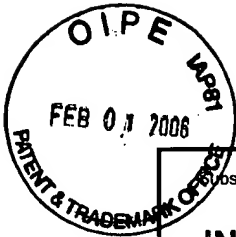
U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA*	US-6,273,535-B1	08-14-2001	Inoue et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	BA	EP-0 859 326-A2	08-19-1998	Canon Kabushiki Kaisha		✓
	BB	EP-0 881 817-A2	12-02-1998	Seiko Epson Corporation		✓
	BC	JP-H07-323608	12-12-1995	Fujitsu Ltd		✓
	BD	JP-H11-091209	04-06-1999	Ricoh Co Ltd		✓
	BE	JP-H10-111771	04-28-1998	Canon Inc		✓
	BF	JP-2001-071596	03-21-2001	Seiko Epson Corp		✓
	BG	JP-2001-071595	03-21-2001	Seiko Epson Corp		✓
	BH	JP-2001-071594	03-21-2001	Seiko Epson Corp		✓
	BI	JP-2001-075761	03-23-2001	Seiko Epson Corp		✓

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	European Official Action issued for European Application No. 00307652.8-1248 dated May 9, 2005 (6 pages)	
	CB	Japanese Decision of Refusal issued for Japanese Application No. 11-252242 dated November 1, 2005 (2 pages) - English Translation of Decision of Refusal (3 pages)	
	CC	Patent Abstracts of Japan (English Translation); Publication No. 07-323608; Dated 12/12/1995; (25 pages)	
	CD	Patent Abstracts of Japan (English Translation); Publication No. 11-091209; Dated 04/06/1999; (18 pages)	
	CE	Patent Abstracts of Japan (English Translation); Publication No. 10-111771; Dated 04/28/1998; (52 pages)	
	CF	Patent Abstracts of Japan (English Translation); Publication No. 2001-071596; Dated 03/21/2001; (43 pages)	
	CG	Patent Abstracts of Japan (English Translation); Publication No. 2001-071595; Dated 03/21/2001; (53 pages)	
	CH	Patent Abstracts of Japan (English Translation); Publication No. 2001-071594; Dated	

Examiner Signature	Date Considered
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PTO/SB/08a/b (07-05)

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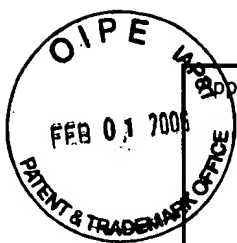
Substitute for form 1449A/B/PTO				<b>Complete if Known</b>	
				Application Number	09/656,310-Conf. #9423
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Filing Date	September 6, 2000
				First Named Inventor	Goro Ishida
				Art Unit	2624
				Examiner Name	K. Y. Poon
				Attorney Docket Number	04783/016001
Sheet	2	of	2		

		03/21/2001; (53 pages)	
	CI	Patent Abstracts of Japan (English Translation); Publication No. 2001-075761; Dated 03/23/2001; (50 pages)	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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Application No. (if known): 09/656,310

Attorney Docket No.: 04783/016001

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on February 1, 2006  
Date

Signature

Michelle Hayden

Typed or printed name of person signing Certificate

Registration Number, if applicable

(713) 228-8600  
Telephone Number

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IDS (Citation) by Applicant (19 References) (2 pages)